Holding of specified securities

- 1 Name of Listed Entity: APEX CAPITAL MARKETS LIMITED
- 2 Scrip Code/Name of Scrip/Class of Security: [BSE: 10011122]
- 3 Share Holding Pattern Filed under: Reg. 31(1)(a)/Reg. 31(1)(b)/Reg.31(1)(c)
 - a. If under 31(1)(b) then indicate the report for Quarter ending

30-Sept23

b. If under 31(1)(c) then indicate date of allotment/extinguishment

NA

4 Declaration: The Listed entity is required to submit the following declaration to the extent of submission of information:-

	Particulars	Yes*	No*
1	Whether the Listed Entity has issued any partly paid up shares?		NO
2	Whether the Listed Entity has issued any Convertible Securities or Warrants?		NO
3	Whether the Listed Entity has any shares against which depository receipts are issued?		NO
4	Whether the Listed Entity has any shares in locked-in?		NO
5	Whether any shares held by promoters are pledge or otherwise encumbered?		NO

^{*} If the Listed Entity selects the option 'No' for the questions above, the columns for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed Entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.

5 The tabular format for disclosure of holding of specified securities is as follows:-

APEX CAPITAL MARKETS LIMITED

Table I - Summary Statement holding of specified securities

Categ	Category of shareholder (II)	Nos. of	No. of fully	No. of	No. of	Total nos.	Shareholdi	Number of	Voting R	Rights held in e	each class	No. of	Shareholding, as	-	mber of		ımber of	Number of
ory		sharehold	paid up	Partly	shares	shares held	ng as a %		of sec	urities (IX)		Shares	a % assuming full	Locke	d in shares	Shar	es pledged	equity
(I)		ers (III)	equity	paid-up	underlyi	(VII) =	of total no.					Underlyin	conversion of convertible		(XII)	ord	otherwise	shares held
			shares held	equity	ng	(IV)+(V)+	of shares					6 Outstandi	securities (as a			end	umbered	in
			(IV)		Deposito	(VI)	(calculated					ng	percentage of				(XIII)	dematerializ
				held (V)	ry		as per					convertibl	diluted share					ed form
					Receipts		SCRR,					е	capital) (XI)=					(XIV)
					(VI)		1957) (VIII)	No o	f Voting	Rights	Total as a		(VII)+(X) As a % of	No.	As a % of	No.	As a % of	
							As a % of (A+B+C2)	Class eg: X	Class	Total	% of	(including Warrants)	(A+B+C2)	(a)	total	(a)	total	
							(A+b+C2)		eg: Y		(A+B+C)	(X)			Shares		Shares	
												. ,			held (b)		held (b)	
(I)	(II)	(111)	(IV)	(V)	(VI)	(VII) =	(VIII)			(IX)		(X)			(XII)		(XIII)	(XIV)
,	(,	(,	(/	(-)	(,	(IV)+(V)+	(,			(,		(,	(XI)= (VII)+(X)		(,		()	(****)
						(VI)												
(A)	Promoter & Promoter Group	10	1749700	0	0	1749700	58.32	1749700	0	1749700	58.32	0	58.32	0	0	0	0	1749700
		10	1749700	U	U	1749700	36.32	1749700	U	1749700	36.32	U	56.52	U	U	U	U	1749700
(B)	Public	921	1250400	0	0	1250400	41.68	1250400	0	1250400	41.68	0	41.68	0	0		NA	54900
(C)	Non Promoter- Non Public	0	0	n	0	0	n	0	0	0	0	0	0.00	0	0		NA	0
		U	0	U	U	U	U	U		· ·	U	U			U			0
	Shares underlying DRs	0	0	0	0	0	NA	0	0	0	0	0	0.00	0	0		NA	0
(C2)	Shares held by	0	0	n	0	0	n	n	n	0	n	n	0.00	n	n		NA	0
	EmployeeTrusts	Ů	· ·				Ŭ		<u> </u>			,						Ů
	Total	931	3000100	0	0	3000100	100.00	3000100	0	3000100	100.00	0	100.00	0	0		0	1804600

							APEX C	APITAL 1	MARKET	S LIM	ITED								
Tabl	e II - Statement sh	owing sharel	olding	pattern of	the Pror	noter an	d Promote	er Group											
Cate gory	Category of shareholder	PAN	Nos. of shareh olders	No. of fully paid up equity shares held	No. of Partly paid-up equity shares held	No. of shares underlyin g Deposito ry	Total nos. shares held	Shareholdi ng as a % of total no. of shares (calculated as per SCRR,			g Rights held securities		No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share	Locke	umber of ed in shares	ple oth enci	mber of hares dged or nerwise umbered	Number of equity shares held in dematerial ized form
						Receipts		1957) (VIII)	No of Class eg: X	Voting Class eg: Y	Rights Total	Total as a % of (A+B+C)	warrants	capital) (XI)= (VII)+(X) As a % of (A+B+C2)	No. (a)	As a % of total Shares held (b)	(a)	As a % of total Shares held (b)	
	(1)	(11)	(111)	(IV)	(V)	(VI)	(VII) = (IV)+(V)+	(VIII)			(IX)		(X)	(XI)= (VII)+(X)		(XII)		(XIII)	(XIV)
1)	Indian																		
	Individuals/Hindu undivided Family		7	706900			706900	23.56	706900		706900	23.56		23.56					706900
	KAMAL KISHORE LALWANI (HUF)	(228100			228100	7.60	228100		228100	7.60		7.60					228100
	KAMAL KISHORE LALWANI	()	63000			63000	2.10	63000		63000	2.10		2.10					63000
3	SNEH LATA LALWANI	()	196400			196400	6.55	196400		196400	6.55		6.55					196400
4	SUNDEEP LALWANI	()	43800			43800	1.46	43800		43800	1.46		1.46					4380
	SUNIL KUMAR LALWANI	()	153800			153800	5.13	153800		153800	5.13		5.13					15380
6	SUNITA LALWANI	()	15000			15000	0.50	15000		15000	0.50		0.50					1500
7	SANJAY KUMAR DHANCHOLIA	()	6800			6800	0.23	6800		6800	0.23		0.23					680
	Central Government/ State Government(s)																		
	Name (xyz)																		
	Financial Institutions/ Banks																		
	Name (xyz)																		
` '	Body Corporates		3	1042800			1042800	34.76	1042800		1042800	34.76		34.76					1042800
1	MARKETS LTD	0		502900			502900	16.76	502900		502900	16.76		16.76					502900
2	LALWANI FERRO ALLOYA LTD	0		199900			199900	6.66	199900		199900	6.66		6.66					199900
	LALWANI METALLICS PVT LTD	0		340000			340000	11.33	340000		340000	11.33		11.33					340000
	Sub-Total (A)(1)		10	1749700	0	0	1749700	58.32	1749700	0	1749700	58.3214	0	58.32	0	0	0	0	1749700
	Foreign						0												
(a)	Individuals (Non- Resident Individuals/ Foreign Individuals)						0												
_	Name (xyz)						0												
- '	Government						0												
	Name (xyz)		-	-			0	0.00	_		-	0.00		0.55					
- '	Institutions		0	0			0	0.00	0		0	0.00		0.00					
	Name (xyz) Foreign Portfolio		1				0												
	Investor						0												
	Name (xyz)						0												

Cate	• ,	owing shareh PAN	Nos. of shareh	No. of fully paid up equity shares held	No. of Partly paid-up equity shares held	No. of shares underlyin g Deposito	Total nos. shares held	Shareholdi ng as a % of total no. of shares (calculated as per	Number o		g Rights held securities	in each	No. of Shares Underlying Outstanding convertible securities (including	Shareholding , as a % assuming full conversion of convertible securities (as a percentage of	_	umber of ed in shares	ple oth	mber of shares edged or herwise umbered	Number equity shares held in demater ized for
					neia	ry Receipts		SCRR, 1957) (VIII) As a % of (A+B+C2)	Class eg: X	Voting Class eg: Y	Rights Total	Total as a % of (A+B+C)	Warrants)	diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	(a)	As a % of total Shares held (b)	(a) o		
	(1)	(11)	(III)	(IV)	(V)	(VI)	(VII) = (IV)+(V)+	(VIII)			(IX)		(X)	(XI)= (VII)+(X)		(XII)		(XIII)	(XIV)
(e)	Any Other (specify)						0												
	Name (xyz)						0												
	Sub-Total (A)(2)		0	0	0	0	0	0.00	0	0	0	0	0	0.00	0	0	0	0	
	Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2) 10 1749700 0		0	1749700	58.32	1749700	0	1749700	58.3214	0	58.32	0	0	0	0	17497			

Note: (1) PAN would not be displayed on website of Stock Exchange(s).

⁽²⁾ The term "Encumbrance" has the same meaning as assigned under regulation 28(3) of SEBI (Substantial Acquisition of Shares and Takeovers) Regulations, 2011.

						APEX	CAPITAL	MARKET	'S LIMITE	ED								
Table	III - Statement showing shareholding	nattern of the Pub	lic shareho	older			<u> </u>		<u> </u>									
Cate	Category of shareholder	PAN	Nos. of	No. of fully paid up equity shares held		No. of shares underlying Depository Receipts	Total nos. shares held	Shareholdin g as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	No of Class eg: X	-	ights held in e curities Rights Total	Total as a % of (A+B+C)	No. of Shares Underlying Outstanding convertible securities (including Warrants)		Lo	mber of cked in res (XII) As a % of total Shares held (b)	Number of Shares pledged or otherwise encumbered (XIII) No. As a % (a) of total Shares held (b)	Number of equity shares held in dematerializ ed form (XIV)
	(1)	(11)	(111)	(IV)	(V)	(VI)	(VII) = (IV)+(V)+ (VI)	(VIII)			(IX)		(X)	(XI)= (VII)+(X)		(XII)	(XIII)	(XIV)
1)	Institutions																NA	
	Mutual Funds/		0	0			0	0.00	0		0	0.00		0.00			NA	0
(b)	Venture Capital Funds																NA	
	Name (xyz)																NA	
(c)	Alternate Investment Funds																NA	
	Name (xyz)																NA	
(d)	Foreign Venture Capital Investors																NA	
	Name (xyz)																NA	
` '	Foreign Portfolio Investors		0	0			0	0.00	0		0	0.00		0.00			NA	0
(F)	Financial Institutions/ Banks		0	0			0	0.00	0		0	0.00		0.00			NA	0
	Name (xyz)																NA	
	Insurance Companies		0	0			0	0.00	0		0	0.00		0.00			NA	0
(h)	Provident Funds/ Pension Funds																NA	
	Name (xyz)																NA	
(i)	Any Other (specify)																NA	
	Name (xyz)																NA	
	Sub-Total (B)(1)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0	NA	0
2)	Central Government/ State Government(s)/ President of India																NA	
	Name (xyz)																NA	
	Sub-Total (B)(2)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	NA	0
3)	Non-institutions																NA	
(a)	Individuals -																NA	
	i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs.		903	173700			173700	5.79	173700		173700	5.79		5.79			NA	-115800
	ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.		7	342500			342500	11.42	342500		342500	11.42		11.42			NA	153800
—	Name (xyz)		<u> </u>	44000			44600							,			NA	
	HEMANT JOSHII		1	41800			41800	1.39	41800		41800	1.39		1.39				
	MANOJ BENGANI		1	32900			32900	1.10	32900		32900	1.10		1.10				
(b)	NBFCs registered with RBI																NA	
	Name (xyz)																NA	
(c)	Employee Trusts		<u> </u>														NA	1
	Name (xyz)		J														NA	

						ADEV	CAPITAL	MADIZET	C I IMITI	ED								
Tabl	a III. Chahamanh ahansing aharah aldina u	attaus of the Dubl	ا مامومام ما	-1-1		APEA	CAPITAL	MAKKEI	3 LIMITI	Ľυ								
Cate	e III - Statement showing shareholding p Category of shareholder	PAN	Nos. of	No. of fully	No. of Partly	No. of shares	Total nos.	Shareholdin	Number of	Voting Di	ghts held in e	ach class of	No. of	Shareholding, as	Ni	mber of	Number of	Number of
gory	· ,	PAN		paid up equity	,	underlying	shares held		Nullibel of		giits neid in e curities	acii ciass oi	Shares	a % assuming full		cked in	Shares	equity
gury			ders	l	equity shares	, ,	silares field	total no. of		360	Luitties		Underlying	_		res (XII)	pledged or	shares held
			uers	silares field	held	Receipts		shares					Outstanding		3110	ii es (XII)	otherwise	in
					liciu	Receipts		(calculated					convertible				encumbered	
								as per SCRR,					securities	percentage of			(XIII)	ed form
								1957) (VIII)	No o	f Voting F	Rights	Total as a %	(including		No.	As a % of		(XIV)
								, , ,	Class eg: X		Total	of (A+B+C)	Warrants)			total	(a) of total	(,
								(A+B+C2)	Ü	eg: Y	Total	01 (71.5.0)		(VII)+(X) As a % of	` '	Shares	Shares	
								, ,		-B				(A+B+C2)		held (b)	held (b)	
	(1)	(11)	(111)	(IV)	(V)	(VI)	(VII) =	(VIII)			(IX)		(X)			(XII)	(XIII)	(XIV)
							(IV)+(V)+ (VI)							(XI)= (VII)+(X)				
							. , , , , ,									ı		
(d)	Overseas Depositories (holding DRs)																	
	(balancing figure) Name (xyz)																NA NA	-
(0)	Body Corporates (India) & Trusts		11	734200			734200	24.47	734200		734200	24.47		24.47			NA NA	16900
(e)	, , ,		11	734200			734200	24.47	734200		734200	24.47		24.47			INA	16900
1	SPA TRADE & INVESTMENT PVT LTD			375000			375000	12.50	375000		375000	12.50		12.50				
				373000			373000	12.50	373000		373000	12.50		12.50				
2	YAC TRADING & INVESTMENTS PVT			150000			150000	5.00	150000		150000	5.00		5.00				
_	LTD			150000			150000	5.00	150000		150000	3.00		5.00				
3	AMBER CAPITAL MARKETS LTD			70000			70000	2.33	70000		70000	2.33		2.33				
4	POONAM MERCANTILE (P) LTD			50000			50000	1.67	50000		50000	1.67		1.67				
5	MURABROWN TRADING (P) LTD			45000			45000	1.50	45000		45000	1.50		1.50				
	Name (xyz)																NA	
(f)	Directors		0	0			0	0.00	0		0	0.00		0.00			NA	0
	Name (xyz)																NA	
	Sub-Total (B)(3)		921	1250400	0	0	1250400	41.68	1250400	0	1250400	41.68	0	41.68	0	0	NA	54900
	Total Public Shareholding (B)=		034	1250400	_	_	1250400	41.00	1350400	•	1250400	41.00	0	44.00	_	_	NA	F4000
	(B)(1)+(B)(2)+(B)(3)		921	1250400	0	<u> </u>	1250400	41.68	1250400	0	1250400	41.68	0	41.68	L_°	0	NA	54900
	Details of the shareholders acting as pe	rsons in Concert in	cluding th	eir Shareholdin	g (No. and %):													

Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

Note:

- (1) PAN would not be displayed on website of Stock Exchange(s).
- (2) The above format needs to be disclosed along with the name of following persons: Institutions/Non Institutions holding more than 1% of total number of shares.
- (3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available and the balance to be disclosed as held by custodian,

Table I	V - Statement showing sha	areholdin	g pattern o	of the Non	Promoter-	Non Publi	c sharehold	er											
Categor y	Category of shareholder	PAN (II)	Nos. of shareholder s (III)	paid up equity		shares underlying	Total nos. shares held (VII) = (IV)+(V)+ (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX) No of Voting Rights Class Class Total eg: Y Total as a % of (A+B+C)			Outstanding convertible securities (including securities (as a percentage of diluted share sass Class Total as a % of convertible securities (as a percentage of diluted share capital) (XI) As a % of total		shares (XII) No. As a % of total Shares		per of ares ged or rwise abered IIII) s a % f total mares eld (b)	Number of equity shares held in demateriali zed form (XIV)		
	(I)	(11)	(111)	(IV)	(V)	(VI)	(VII) = (IV)+(V)+ (VI)	(VIII)		(IX)		(X)	(XI)= (VII)+(X)		(XII)	(X	III)	(XIV)
1)	Custodian/DR Holder																N	IA	
	Name of DR Holder (if available)																N	IA	
(i)	abc,.,																N	IA	
(ii)	efg																N	IA	
	Sub-Total (C)(1)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	N	IA	0
L.	Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regula																N	IA	
(a)	Name ()																N	IA	
	Sub-Total (C)(2)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	N	IA	0
	Total Non-Promoter- Non Public Shareholding (C)= (C)(1)+(C)(2)		0	0	0	0	0	0	0	0	0	0	0	0	0	0) N	IA	0

Note: (1) PAN would not be displayed on website of Stock Exchange(s).

⁽²⁾ The above format needs to be disclosed along with the name of following persons: Institutions/Non Institutions holding more than 1% of total number of shares.

⁽³⁾ W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available,